

Natural Hazard Research

*The Utilization of Amateur Radio
in Disaster Communications*

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Working Paper #96



**The Utilization of Academic Radio
in Boulder Communities**

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The purpose of this working paper is to explore the use of amateur radio as a provider of supplemental communications for disaster relief and disaster relief agencies. Amateur radio operators, or hams, are skilled communications writing and able to transmit their calls and equipment for emergencies. They also offer their skills and additional frequencies that it makes sense to plan for and include them in disaster training and preparation and to use them when disaster strikes. In Colorado, hams participate with the Mile High Chapter of the American Red Cross and the Boulder County Amateur Radio Emergency Service (RCARES) as disaster communications providers. Although these two groups play different roles as a disaster, both share how hams can work together with agencies to provide more effective communications during a disaster.

Purpose

This paper is one of a series of research programs in the field of human adjustment to natural hazards. The Natural Hazards Working Paper Series is intended to aid the rapid dissemination of research findings and information. Publication in the series is open to all research researchers and does not preclude subsequent formal publication. Indeed, material accepted to a publication in this series can be used as reference papers for submission to journal or book publishers.

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CHAPTER 1

INTRODUCTION

Purpose

The Boulder Valley School District security division received a phone call stating that three bombs had been placed at Venus Pine Middle School—one located in the boy's locker room and two near the metal shop. Shortly after the call, an explosion shook the locker room. There were approximately 20 to 30 people in the school. Many were injured by the explosion, which also started fire and smoke.

School security notified the Boulder Regional Communications Center at the same time school employees called 911 to report the explosion. Communications dispatched Cherryvale Fire Protection District, AMER Ambulance, the Boulder County Sheriff's Department, the Boulder County Sheriff's Squad, and Emergency Services.

Arriving units reported broken windows, smoke, and panic reactions in the school. They established command and requested additional resources. Responders discovered two remaining explosive devices near the metal shop that did not detonate. In addition, most of the injured were located in the boy's locker room, gymnasium, and classrooms in the center of the building.

Law enforcement personnel secured the area, located the two bodies, and began to define them. Firefighters, using rescue dogs, quickly searched the building for fires and took measures to evacuate residents from the building. Victims were transported by ground and air ambulances to Boulder Community and Arvada hospitals.

Command provided incident information to the community. Victim's relatives and the Red Cross counseled with parents and children responding to the scene. Information on parent status was obtained from those named and released to the scene and from hospitals. In addition, an Incident Emergency Operations Center (IEOC) was established on site to provide resources and take calls concerning the incident.

Once the incident was over, a debriefing was held to evaluate response, command, and management.¹

Fortunately, the above events describe the scenario for the Simulated Emergency Test (SET) held on Saturday, November 20, 1999. It could have been a wildfire or flash flood causing casualties, and it could have easily been a real emergency.

The purpose of this thesis is to explore the use of amateur radio as a provider of supplemental communications for disaster relief and disaster control agencies. This discussion covers all relevant aspects, including the parameters of disaster communications, important issues, and communication providers, including amateur radio operators. The paper concludes with a proposal for incorporating amateur radio into the communications strategy for disasters.

1. Simulated Emergency Test, Boulder, Colorado, November 20, 1999.

Scope

Emergencies and disasters can either occur suddenly, such as in a flash flood, or gradually worsen, such as in slow rising flood waters. This study deals only with sudden-onset disasters and emergencies, which don't give little time for warning, are relatively short in duration and require rapid reaction on the part of responding organizations.¹ 2

The primary distinction between an emergency and a disaster is one of degree. In handling relief studies have shown that timing is crucial in a local situation, utilizing local agencies and local resources first, make best. Response and recovery are most effective when outside help is brought in only after local resources reach their limits. For this reason, this paper discusses emergencies and disasters from a local perspective. In particular, an emergency is a situation where a city or county public safety agency decides there is actual or imminent danger of loss of life and property that requires immediate action. A disaster is an extended, more widespread emergency. The focus shall be on disasters because the need for communications is both more intensive and extensive in a disaster than an emergency. Therefore, communications used in disasters can also be applied in emergencies.

Summary

Chapter 1 discusses the purpose, scope, and approach of the paper. Chapter 2 discusses disasters, emphasizing that disasters can hit us often everywhere and that their potential is increasing. Chapter 3 explains the need for communications following an emergency or

1. Communications After a Disaster (San Francisco: University of the Southern California Program, 1991), p. 50.

disaster and the capacities and divisions of communications necessary. It concludes by defining the parameters of disaster communications for this study.

Chapter 4 discusses the provision of communications—both voice and textual methods as well as some general aspects of wireless communications. It also addresses several related issues, including personnel, technological, regulatory, policy, and financial aspects.

Chapter 5 focuses on primary disaster services communications systems and discusses disaster relief and disaster control agencies, specifically those in the Boulder, Colorado, area and in the Washington, D.C., metro area.

Chapter 6 examines nonessential supplemental disaster communications providers—cellular phone and commercial satellite systems.

Chapter 7 explores amateur radio and its role in disaster communications. The case studies presented at the end of the chapter show the utilization of amateur radio in specific agencies.

Chapter 8 analyzes the findings of the research and offers conclusions. A proposal is then made, presenting a plan for incorporating amateur radio in the disaster agency's communications.

CHAPTER 2

Disasters

Their Existence

Emergencies and disasters include earthquakes, floods, hurricanes, wildfires, ice, wind, and virus -epidemics, landslides, tsunamis, chemical spills, nuclear meltdowns, and war. Some are caused by natural forces, some result from human error, and some are deliberate acts of violence.

Their Scope

Geographical

No place and no one on earth is free from the threat of disaster. Just in the last few years alone the United States has experienced Hurricane Hugo, the Loma Prieta Earthquake, the Oakland Fire in California, Hurricane Andrew in the southeast, Hurricane Iniki in Hawaii, the Los Angeles riot, the terrorist bombing of the World Trade Center in New York, and the widespread flooding of major rivers in the Midwest. Closer to home, Colorado experienced the Big Thompson Canyon Flood in 1976, and in recent years Boulder County endured the Old Sage Road wildfire, the Black Tiger wildfire on Superior Mountain, and potentially hazardous eruptions such as the Ballonsnare Malt Creek on Boulder's Pearl Street Mall and two war demonstrations.

Financial

Both scenarios have caused great loss of lives and property. In 1993, insurance companies paid a record \$20 billion in damages. This figure does not include uninsured losses, and 1993 figures, although not yet complete, indicate more of the same.¹

Increasing Potential

The probability of disaster is increasing; this can be attributed to several factors, including increasing population, technological advances, and nature.

The percentage of people in urban areas is increasing. Today, the world population is 5.2 billion, with 41% living in urban areas; projections estimate that by the year 2030, 60% of the total population of 10 billion will be urban dwellers. Due to this increase, urban areas are encroaching onto wilderness, either by city limits moving farther beyond existing boundaries or as city dwellers increasingly move into wilderness areas for the serenity of the location.² As a result, according to John Reynolds of the National Fire Protection Association, the risk of wildfires is increasing.³

Technology is another factor. Formerly, only the highly industrialized nations had the capability to engineer, manufacture, and use potent weapons of mass destruction. This is no longer true. Today, dangerous and deadly technology is more readily available to anyone

1. Ruth Waddy, "Top of the Line: How Climate Change Disasters and How to Prepare for Them," *Wash. Magazine*, August 1993, p. 30.

2. Thomas J. Elphinstone and David R. Beaman, editors, *Disasters and Disasters: Principles and Practices for Environmental Management* (1993), *Environmental and City Management Journal* 6, 1993, p. 11.

3. Waddy, pp. 37-38.

with money or connections, creating a highly volatile environment and a higher probability of accidental or intentional disaster.

As well, not using the available technology will continue to cause problems. For instance, failure to implement recommended construction specifications for buildings in a high risk area can result in more damage when a disaster occurs than if adequate construction standards had been used. Shelby County, Tennessee – which lies near the New Madrid Seismic Zone⁴ – provides a positive example of mitigation practices at the local level.⁵ By adopting a new building code as strict as the one in the city of Los Angeles, an estimated 3,000 lives and \$0.5 billion in property may be saved during a major earthquake.⁶

Natural pollution also causes concern. In 1986, the World Meteorological Organization (WMO) confirmed that there was “clear evidence that human use of chlorofluorocarbons (CFCs, Freon) had significantly affected the ozone layer over the globe.”⁷ (However, under a UN-backed agreement called the Montreal Protocol, suspending nations are phasing out the use of harmful CFCs. Nevertheless, increasing climate change increases the risk from severe weather. “Wild pollution”⁸ and global warming will result in greater and more frequent natural disasters.

Natural disasters are another, if not the greatest, concern. According to UN Disaster Relief Coordinator M. Rashed Husain: “The trend is quite clear. From the 1960s to the

4 Shelby County, Tennessee, includes the city of Memphis and is located along the New Madrid fault.

5 Robert Liles, *Shelby County: Home Town*, and James Gaultier, *Shelby: Damage and Home Loss: The Economic Impact of Earthquake Mitigation Measures* (Birmingham: The Earthquake Project, 1993), p. 2.

6 Anne Christine Elmholtz, “Preparing for World-Wide Disasters,” *UN Chronicle*, June 1991, p. 10.

7 Ibid.

4.

1880s There has been a fivefold increase in the frequency of great natural disasters and a threefold increase in total economic losses.¹⁻⁴

CHAPTER 3

DISASTERS AND THE NEED FOR COMMUNICATIONS

The Need For Communications

The good news is, you can prepare for disasters. The urgent news is, you *must* prepare.¹¹

Planning and preparing for disasters takes time, but it is time well spent. Two key components of the process are knowing what is needed and planning for communications.

When communication is lost, the ability to coordinate rescue efforts, fight fires, evacuate areas in imminent danger, and marshal relief personnel is hindered. Immediately following a disaster, the ability to disseminate information is essential, particularly on the scope and severity of the damage, the number and types of casualties, and the relief effort required. Indeed, the first 24 hours after a natural disaster are the most critical for saving lives.¹²

Without communications, the effectiveness of the response effort is greatly impaired. Elected representatives do not know about the situation or do not know what other response measures have been taken. In the better case, nothing gets done and no one is given

11. Waring, p. 41.

12. David Waring, "An Introduction to Disasters," *Disasters: How They Happen, How to Prevent Them* (Washington, D.C.: The Emergency Management Program, 1988), p. 3.

striking either as an event trigger emergency or prevent damage that could have been prevented. In the latter, unbalanced chaos, duplication of efforts, and inefficient, even wasted, use of resources result.

Disaster Management

There are four stages of emergency management: 1) preparation, 2) response, 3) recovery, and 4) mitigation. Because this paper is examining the use of various forms of disaster communications, we will only examine the first three stages. Disaster management coordinates the actions taken by all responding groups in each of these stages as they relate to the emergency phase. The first stage, before a disaster occurs, involves planning and preparation. The second stage, during a disaster, requires the immediate action by such groups as public safety agencies and the Red Cross. The third stage includes activities like providing continued relief and aid, working with recovery, and evaluating the effectiveness of activities undertaken in the first two stages.

The groups and organizations active in one of the three stages are widely varied, but they all have one common need: communications. Some of these groups include financial services, the general public, educational institutions, disaster relief services, and disaster control services. Their specific requirements for communications may differ, but their main goals are to meet the needs of the affected area and return to normal as quickly as possible.

Disaster Control Services

The primary groups responding to a crisis are disaster control services, including public service agencies, forecasting services, privately and publicly funded transportation companies, and public utilities. All of these organizations play vital roles in responding to and assisting in recovery from catastrophic events.¹²

Public Service

The fire department, police department, sheriff's department, emergency medical services, and medical agencies constitute the public service group. Each group needs to be able to communicate with its control unit and with other groups. Responsibilities include responding to and controlling the emergency, securing property and local damage, managing and reporting on casualties, responding and obtaining supplies, clearing traffic, and requesting additional assistance.

Forecasting and Diagnostic Services

Groups that might be involved in their need for communications include forecasting and diagnostic services. Depending on the cause of the emergency, meteorologists, seismologists, or experts on the cause of the crisis need the capability to forecast further occurrences. Experts knowledgeable about any potential aftereffects, such as aftershocks or flash floods, also need access to communications.

12. An Fodor reserves this title.

Transportation Companies and Agencies

Whether an event requires an evacuation of people from a dangerous area, a rescue operation, or simply coordination of the arrival and disembarkment of supplies, those involved in transportation need the ability to communicate in order to effectively coordinate their actions.

Public Utilities

In almost every emergency, some area is affected by problems with public utilities, including phone, electric, and gas lines, and water and sewer mains. As a result, public utilities crews work around the clock to make repairs. In part to avert additional damage, such as fires, gas leaks that can cause fires or explosions. Therefore, all repair vehicles need to report to their control center on damage, traffic problems, and technical difficulties.

Disaster Relief Services

Finally, adequate communication is critical to disaster relief services, such as the Red Cross, the Federal Emergency Management Agency (FEMA), church groups, and any other organization that works directly with victims, assesses damage, and handles other aspects of victim assistance such as health and welfare inquiries (HWA) or disaster welfare inquiries (DWA) by the Red Cross.

The Public

Public information needs fall into three categories: 1) emergency-related information, 2) health and welfare responses, and 3) curiosity. The first is crucial and collects various weather information, announcements from the mayor or other high ranking officials, and emergency instructions. It is handled with one-way communications. The second, important but usually not life-threatening, can take a lower priority. The third should be lowest priority.

Financial Services

Not only must people be able to communicate with others, but machines must also be able to exchange information.¹⁴ In today's information-intensive society, it is no exaggeration to regard telecommunications as the nation's economic life blood.¹⁵ Three of the most important groups in this case are financial institutions, commercial services, and special networks such as emergency and traffic-control networks.

Although not critical to the immediate crisis response, financial services and institutions need their communications links working as soon as possible. Frequently an air emergency credit card must be accepted as payment for goods, and cash becomes necessary. Therefore, banks must be able to receive commercial capital flow, which is also necessary for handling foreign capital inflow as well as health and welfare demands.

14. Kenneth L. Gallett, "Economics," *Telecommunications and Business Planning: an Telephone Company Handbook*, R.C. - October 1, 1983, p. 3.

Commercial Services

Just like every other industry and workplace, commercial services are increasingly dependent on service-oriented networks to keep their businesses in operation. These services include water purification and air traffic control systems.

Special Networks

Other, specialized networks can also be important in a crisis. These networks can include meteorological and astronomical networks, and traffic-control, shore-based-control, police databases, and prison-control systems.

Type of Communications

When an emergency arises, agencies establish an Emergency Operations Center (EOC), which is the command center for initiating major actions in response to the crisis. Centers are also established close to the disaster zone if the emergency is great enough. This procedure is followed by the primary agencies, specifically the public safety and disaster relief agencies. Together, these two groups manage the response to the situation.

The communications needs for these groups can be divided into four basic areas: 1) intra-agency communications, 2) inter-agency—between disaster org(s) and the EOC, 3) inter-agency—among involved agencies and the EOC, and 4) coordination among all groups.

such as police and fire.¹³ Within these areas, communications operate on five levels: tactical, command, logistical, support, and health and welfare requests.¹⁴

On-Site Communication

On-site, or tactical, communication is the most vital link. Those at the disaster site have the responsibility to gather accurate information concerning the nature and kinds of damage, number, type, and nature of casualties, and location of damages and casualties. The workers in the field must be able to maintain constant communication with those at the base and for their own and the safety of those they are trying to help, and to execute their duties as efficiently as possible.

Inter-Agency Communications

Those nearest the scene of the disaster have the most accurate information. It is of great importance that they relay this information to the EOC efficiently and effectively. The EOC needs all the pieces from the disaster area to "set the big picture"¹⁵ in order to efficiently direct the response. The EOC also needs to be able to supply the field units with such information as the current state of supplies, the progress of the emergency, etc., if the force has a working headquarters close to their position(s) and where shift changes occur.

13. Daniels and Browner, p. 43.

14. Police interview with author.

Inter-Agency Communications

Reports on and verifications of damages and casualties can be used to anticipate the amount and type of supplies that will be required. The EOC can then contact involved agencies to request supplies and determine distribution strategies.

Coordination

As the size of the situation and number of involved groups and individuals grows, coordination becomes increasingly important. A predesignated hierarchy of control and management must be followed to avoid confusion and chaos. Everyone involved in the response stage, i.e., disaster relief and disaster control agencies, must be familiar with this process. There must also be a plan that explains, step by step, the correct procedures and responsibilities of those involved.¹⁷ To some extent, emergency communication follows planned guidelines, but . . . a disaster often calls for improvisation as well.¹⁸ The coordination is key to successful emergency management, and communication is key to successful coordination.¹⁹

Definition of Disaster Communications

Although the communications used by all these groups can be termed "disaster communications," the focus of this paper is on the types of communications utilized by primary response and recovery groups, specifically, disaster control and relief agencies. In

¹⁷ *Disaster and Recovery*, p. 63.

¹⁸ *Disaster and Recovery*, p. 71.

other words, the means of communication and technology or equipment used by these groups are part of disaster communications.

CHAPTER 4 PROVIDING COMMUNICATIONS

Modes of Communication

Communications can be categorized by two basic modes: 1) *voice*, and 2) *record communications*. While *voice communications* can be recorded, if the information can be preserved either in the original form or in exact duplication, it is considered *record communications*.

Voice

Audio communications, such as telephone and two-way radio, rely on the voice to transmit and the ear to receive the message. These messages are usually uncomplicated, direct, and easier to substantiate points of origin. Most people know how to use a phone and find it easier and quicker to speak than to write a message. Dialogue is possible, allowing for immediate verification of the message. Because voice recognition is also possible, the individual receiving the message can immediately determine who is making a request or giving an order and whether the individual carries the required authority.

Record

Record communications are those that are placed into a recorded medium and can be subdivided into two main forms: 1) *image* and 2) *film*. *Image communications* include video and photographs; *film communications* include written forms and facsimiles.

"A picture is worth a thousand words" is an old but valid saying as well as one of the advantages of image communications. Viewing live video of a forest fire makes analysis easier than learning to or reading written communications from someone in the field. Even still shots of the scene can result in more accurate understanding of damage than written or voice verification. Thus, a response is often easier, faster, and more appropriate in the moment. Some applications include identification of the optimal spot to drop things during a wildfire, confirmation of the size of an area in which an event such as a wildfire is occurring, and monitoring of a developing or potential problem such as a wild fire problem.

While face communications may be slower and more difficult, e.g., the time required to fill out a form, they still have several advantages over voice and image communications. There is always a written record verifying an order and the person authorizing it. Written communications contain fewer errors and more message clarity. (There is less chance of being misunderstood than there is with a quick voice request). Sometimes, as in the case of individual and long routes, written forms can actually make response of and response to a message occur more quickly. Another major advantage to written communications, such as forms, is that neither the transmitter nor the receiver must be interrupted from their immediate task to handle the message. Both can respond when events permit. As a result, the message is not an obstacle that could cause further problems. Likewise, when it is handled the message can receive full attention, reducing the chance of error and misunderstanding.

Means of Communication

In a disaster where prompt response is demanded, the method of communicating is as important as the type of the communication. The critical requirements of technology chosen to provide communications are

1. rapid deployment to a distressed area
2. easy setup and operation capability.
3. provision of at least voice and data services to the Emergency Operations Center, so that the disaster control agency or relief organization can quickly disseminate the information.¹⁷

There are two basic categories of communication: wire-based and radio-based. Wire-based communications generally involve the telephone system; radio-based communications cover systems like two-way radios and satellites. Based on the three requirements, radio-based communications are the appropriate choice.¹⁸ Radio has the advantages of portability, availability, and versatility. Battery-powered radios are not susceptible to disrupted power, loss of power, damage to switching stations, or inundation of switchboards.¹⁹ For these reasons, public safety agencies and disaster relief organizations generally rely on radio communications.

The two primary modes of radio communications are AM (amplitude modulation) and FM (frequency modulation). AM adds information to a carrier wave by systematically altering, or modulating, the amplitude of the carrier wave. The resulting frequency, limited

¹⁷ David Johnson-Giles, *Low Tech, High Justice for Disaster-Struck Communities*, Missouri Thesis, University of Missouri (1996) p. 4.

¹⁸ *Communications: What It's Really Like* p. 9.

as sidebands, are ¹¹symmetrically spaced above and below the carrier. ¹² In standard AM both sidebands are transmitted. In single sideband AM (AM-SSB) only one band is transmitted. The carrier itself is suppressed in both cases, thus utilizing less bandwidth.

FM adds information to a carrier wave by modulating the frequency of the wave. Unlike AM, FM requires the transmission of the carrier and n , therefore, more bandwidth intensive than AM. Its main advantages over AM are its high fidelity and its relative immunity to external noise interference due to a high signal-to-noise ratio. Thus, FM is the VHF (very high frequency) spectrum is the most commonly used mode of radio communications, including amateur radio, and is preferred for tactical communications.¹³

Three types of wireless or radio-based, communication systems are currently in use—satellites, cellular telephones, and terrestrial two-way radios. These systems are discussed in more detail in Chapters 6 and 7.

Issues Involved

Personnel

Communications, particularly disaster communications, involves several personnel considerations, particularly the use of the workforce needed. The number of workers and their availability are important when dealing with volunteers. In addition, the level of expertise of each worker is as significant as their training in both communications operations and disaster planning.

11. Barry Vanover, *Newton's Science Dictionary* (New York: Tabor Library, Inc., 1991), p. 599.

12. Allen Steinhardt, "Radio," *Encyclopedia Britannica* (Chicago: Encyclopaedia Britannica, 1993), 794.

Technological

Deciding on the technology for providing communications is a diverse region, considering more than just the method of delivery. For instance, are the equipment resources required to operate the communications system available? Are the allocation and availability of the spectrum sufficient? What about interoperability among system and equipment used by different groups and agencies? “How well the communications system will work depends upon how it interfaces. During the Boston St. Helens operation (and in many foreign disasters), a major problem was the inability of different systems to communicate with one another. The Washington State Police, for example, couldn’t talk to the National Guard.”¹²

Regulatory

Systems must follow established regulatory guidelines in order to ensure better coordination and cooperation among involved groups. The FCC governs several issues surrounding communications in the United States, including spectrum allocation, licensing, regulations on their use, and guidelines on coordination of related efforts among all agencies and organizations, both public and private.

Policy

The two primary policy issues for disaster response systems are: 1) having a current known and specific plan for each potential disaster that could affect the community, and 2)

12. Communications Week 17,18 March 1990, p. 9

forming an understanding, preferably written, between an agency and each organisation with which it interacts. Liability and task assignments must also be addressed in the disaster plan(s), including an established chain of command, points of contact, and detailed, procedural instructions on responding to an event.

A memorandum of understanding (MOU) is an agreed-upon written plan detailing the interaction among the different agencies and individuals. It includes logistical concerns and legal issues relating to the requirements of each party, such as:

- assigned equipment use for each task;
- insurance;
- responsibility for maintaining operability of the systems; and
- command and authority.

Internal policy is "the structure and mission of the [agency/organisation], i.e., how is the [agency/organisation] structured to obtain and disseminate information?"¹⁴ External policy can be defined as "the political will to coordinate."¹⁵

Financial

Cost-effectiveness is always an issue—and usually one of the greatest concerns.

Developers of high-tech emergency communication systems must keep in mind the cost of equipment and the time required to get it in place. Especially, those relief agencies that depend on public contributions must pay attention to cost-effectiveness. If, for example, it takes 24 hours—including truck or helicopter transportation—to get a satellite uplink into place and set up, is the uplink's cost justified? This question is

¹⁴ *Ibid.*, p. 9.

¹⁵ *Communication With Us: Disaster Relief*, p. 20.

ground, especially if less sophisticated systems could handle the immediate emergency.⁴⁸

The costs of equipment purchase, maintenance, upgrade, and operation should be considered. Personnel costs, including set-up and operation, must also be calculated as well as miscellaneous expenses such as the cost of transporting equipment and the time required to set up and operate the system. To be viable, the communications system, including skilled personnel, must be affordable.

CHAPTER 5

PRIMARY DISASTER SERVICES COMMUNICATIONS SYSTEMS

The most obvious means of communication in a disaster is the primary system owned by the disaster management agency. This chapter will discuss the Mile High Chapter and the Washington, D.C., states area Chapters of the American Red Cross and the Boulder (Colorado) Regional Communications Center (BRCC).

The Mile High Chapter of the American Red Cross

The Mile High Chapter has 80 paid staff and 3,000 volunteers. The chapter is responsible for providing services to two million people in seven counties, including Boulder County.

The communications system owned by the chapter has two parts: 1) an FM mobile radio system, and 2) cellular phones.¹² Mile high cellular phones, a maximum of eight simultaneous phone conversations can take place. In Boulder, the cellular phones are the only equipment that are funded, although not a call is made. While useful for small scale emergencies, cellular can quickly become inadequate in a larger scale disaster. Time and other locations of the cellular phone system are discussed in Chapter 6.

The FM system consists of a radio in each Red Cross vehicle and one in each of the six branch offices. Each radio has two channels, which are assigned by the FCC on a national

¹² These figures are examples of two-way communication and reports on additional use of communications. More will be included in the discussion.

level and are reserved to Red Cross use. Although both are available to the Red Cross as a disaster, one channel is for general use, e.g., disaster communications, and the other is exclusively for disaster communications. A maximum of two radio conversations can take place at any given time, and the chapter has no data communications capabilities on this system. Also, the radios are mobile but not portable—they do not leave the vehicle. This restricts communications only to places reachable by vehicle.

Also, an interoperability of this system currently exists with other agencies, such as police and fire, on the assigned frequencies. Such communications must occur via cellular, if it is available, or some other system.

The Washington, D.C., Metropolitan American Red Cross Chapters

Several chapters cover the metropolitan Washington, D.C., area and have varying numbers of staff and volunteers. For example, the Arlington Chapter has no paid staff and 18 volunteers. The National Capital Chapter has 45 paid staff and 72 emergency services volunteers.

The communications systems in metro Washington are much the same as those used by the Mile High Chapter in Denver. The Arlington Chapter has an FM radio system, with four mobile units and two fixed field units. The FM radio system used by the National Capital Chapter has eight mobile radios.

The Boulder Regional Communications Center

The Boulder Regional Communications Center (BRCC) coordinates communications among the police, sheriff, and fire departments. The BRCC provides communications for 43 different public safety agencies of three approximately 30- to 40-member groups. The center handles all 911 emergency calls made in Boulder County except for the city of Longmont and the University of Colorado at Boulder, both of which have their own public safety departments.

The BRCC has several voice communication options, including a VHF-FM two-way radio system as well as cellular and Improved Mobile Telephone Service (IMTS) phones. The two-way radio system includes mobile and portable radios that operate on four assigned frequencies for primary fire reinforcement communications and four frequencies for fire communications. They also have access to other frequencies if necessary. IMTS is a commercial VHF mobile phone system, which is a predecessor to today's cellular system. Although lacking the high fidelity of cellular, it provides better extended coverage in mountainous regions.²⁴

All of these systems offer voice communications only. The sole data communications option is a single portable laptop machine that is dependent upon the operational status of both the cellular and mobile phone systems.

The BRCC also employs a mobile communications van, which carries the IMTS mobile phone, cellular phones, and two-way radio radio. Additional equipment in the van is owned and operated by BCAREC (Boulder County Amateur Radio Emergency Service)—the amateur

²⁴ See *Shirley, "Improving radio safety."*

radio group that works with emergency communications—and includes a TV monitor and packet and voice stations.

CHAPTER 4

SUPPLEMENTARY COMMERCIAL COMMUNICATIONS SYSTEMS

The Cellular Phone System

Introduction

Cellular has been in existence since the early 1940s and is growing in popularity. The technology is easy to use and available in nearly all metropolitan areas. Cellular phones are available in three forms: mobile, portable, or transportable. Mobile phones operate from within a car while portable phones can be hand-carried. Transportable phones are a hybrid of mobile and portable phones. In each case ¹² the power and range of the unit are inversely proportional to the portability ¹³.

A Cellular Geographical Service Area (CGSA) is composed of "cells." Each cell has a base station that can handle approximately 30 phones ¹⁴. A cellular phone establishes a two way radio link with the base station; the base station connects it to the Mobile Telephone Switching Office (MTSO). The MTSO controls call signaling and processing, and coordinates the hand-over of the mobile conversation from one base station to another as the mobile roams around ¹⁵. The MTSO then routes the call into the Public Switched Telephone Network (PSTN) or to another cellular phone. Figure 4-1 diagrams the

12. David Brown, "Cellular Service Operates With One-Handed Ease," *Business Week* (January 18, 1989), 1.

13. Robert Chastain, "Cellular and Wireless Communications," *TeleForum*, 1989 (2-102).

14. Alan Schwartz, "Overview of the MTSO System and Related Architecture," *IEEE Communications Magazine*, 21 (April 1979) 12.

general structure of a cellular system. Figure 4-1 shows the various points at which the MTSD can gain access into the PSTN.

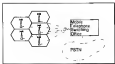


Figure 4-1
Cellular Structure



Figure 4-2
Mobile Service Interconnections¹⁶

16. George Gallatin, *Digital Cellular Radio* (Homeland, NY: Artech House, 1985), p. 10.

17. "PSTN," *Source: in 1985 Handbook*, 1985 (Mobile Service Interconnections) (Bellcore, 18-1114-00170, Issue 1, March 1985), 25-4.

18. [20], "Interchange Router" section, 4.2.1.1, 4.2.1.2, and 4.2.1.3.

At first glance, cellular seems an ideal communications system for disaster situations. However, upon closer examination, several technical and financial problems present themselves.

Drawbacks

Cellular phones do not use the public switched telephone system. While this can allow remote users into the phone system, it is dependent upon the condition of the wireless system. If the system is either damaged by the disaster or overloaded with calls, then the public, in response to an event, is mobile phone cannot provide access.

The cellular phone system can operate without the wireless system. However, there are inherent limits to its usability. When calls are made between two mobile units, each unit occupies a channel of the two-way link, thus occupying two available channels. If all communications are mobile to mobile, the system capacity is reduced by half—both an inefficient use of spectrum and very expensive!

The limited coverage of the cellular phone system is another drawback. While cell users are increasing in number, coverage is still limited. Not only does cellular coverage not cover across the entire country, but cellular coverage is also limited over urban areas where service is provided. A Cellular Geographical Service Area (CGSA) does not necessarily cover 100% of the FCC-defined market boundaries.¹⁸ There is no guarantee that a disaster will only strike in a location that has cellular coverage.

¹⁸ Cellular Geographies, Jan-27, 1993.

Making a call from a cellular phone costs more than a call from a wireless phone. The "Optional" CellularOne package offered in Colorado costs \$40 per month and includes 30 minutes of usage. The charge for each additional minute is 45¢ during peak calling times and 35¢ during off-peak times.¹⁶

Commercial Satellite Systems

Current Systems

Commercial satellite systems are considered by some to be the solution to communications needs, including disaster communications. Satellites allow communications to bypass the local phone system completely. (See Figure 4-2 for the basic structure of a satellite system.)

Most current systems are either very small, operate around VSATs or Inmarsat. VSATs have been in existence since the mid-1970s, are widely used, and are capable of voice, data, and video communications. They also have transportable versions around the globe (transportation and setup relatively easy).¹⁷ However, the time required to transport and setup the system is a disadvantage, as is the cost of the system, which requires a terminal and hub, and payment for access charges.¹⁸

Inmarsat is also capable of voice, data, and video communications as well as facsimile transmission and electronic mail.¹⁹ It also provides transportable terminal stations and is

16. CellularOne pricing, Colorado, Satellite 1993.

17. *Ibid.*, p. 43.

18. *Ibid.*, p. 54.

19. *Ibid.*, p. 55.

globally available. Antenna designs have dropped from 150Watt/cm² to 55-50Watt/cm² since 1970.⁴¹ However, as with VSATs, the main drawback lies in the cost required to deploy a terminal station.⁴²

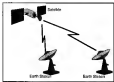


Figure 4-3⁴³
Base Satellite Interconnectivity

Proposed

The satellites of these two systems are in geosynchronous orbit, that is, moving at the same speed as the earth's rotation and therefore remaining in the same position relative to the earth. Systems using a Low Earth Orbit (LEO) are currently under consideration. These

⁴¹ Goss, p. 54.

⁴² Goss, p. 57.

⁴³ Daniel W. Hendrix and Fred P. Gerstetter, "Mobile Communications Orbit Strategy," *Telecommunications 21* (October 1988): 41.

LEDs have the advantages of requiring lower transmit power and generating a smaller mobile unit, whereas due to the wireless nature proximity to earth,⁶⁷ both of which contribute to the development of smaller fixed/mobile units.⁶⁸ While these wireless systems do have technical potential, several regulatory and financial issues must be addressed before implementation. The major players in the development of these LED systems include Motorola, i-Mode, Lucent/Qualcomm, Globalstar, Elipson's Elipson, TRW, Oxycom, and Comstar/Comstarcom/ Aircel.⁶⁹

There is no denying the potential of LED wireless systems to disrupt communications providers. These systems will provide continuous, global voice and data services to a small, easily transported unit, allowing users and their "wired" homes access to needed information anywhere in the world.⁷⁰ However, these systems are years away and have not received approval and licensing from the Federal Communications Commission.

⁶³ *Id.* at p. 7.

⁶⁴ *Id.* at p. 8.

⁶⁵ *Id.*

⁶⁶ *Id.* at p. 10.

⁶⁷ For a complete discussion of proximity to earth, see David S. Reardon, David K. Scharf, Low-Frequency Mobile Satellite Air-to-Air, Air-to-Ground, and Ground-to-Ground, in *Mobile Satellite Systems* (University of Colorado), 1992.

CHAPTER 7

AMATEUR RADIO

Introduction

Amateur radio is the hobby of “amateurs” or “hams.” Amateur radio operators are licensed individuals involved in all aspects of radio communications, including “self-training, intercommunication and technical investigations”¹⁸ but who receive no monetary compensation.¹⁹ Some of their activities include “DXing,”²⁰ or communicating with people across long distances, experimenting with the latest radio and communications technologies such as satellite and amateur television (ATV), building their own equipment, and developing new techniques and technologies, such as moonbounce, which bounces a radio signal off the moon.

In addition, many hams are dedicated to public service.²¹ Public service has been a ham tradition since the very beginning.²² Hams all across the country and around the world are always quick to respond when an opportunity to provide assistance arises, such as for a community-sponsored marathon or bike race, a hospital experiencing a communication

¹⁸ See Karsner, et al., *Antennas: How to Use to Fully Discover the World of Wire Ants* (Newport: Coastal Antenna Radio Sales Group, 1993), pp. 3-4.

¹⁹ Karsner, *op.* 3-4.

system failures, a motor vehicle accident, severe weather spotting, a flood, a hurricane, or a fire. In addition, Hams provided assistance in response to the:

- **Merida City earthquake, September 1991:** Amateur radio communications were the only link at some locations (particularly rural areas).¹⁰
- **Elmerston Stage, September 1991:** "Through volunteer amateur radio networks, shipments of food and medical supplies were coordinated. Amateur radio 'jump stations' even sped to the affected areas to help restore communications."¹¹
- **Lower Poudre earthquake, October 1991:** Hams located health and welfare agencies about victims.¹²
- **Old Stage Road Fire, October 1991:** Hams with amateur televiewers capability transmitted live video of the wildfire to Boulder County to the communications center.¹³
- **Travis Flood, December 1991:** Amateur radio operators provided personal equipment to coordinate communications between the responding agencies.¹⁴

Personnel

There are many participants in successful disaster communications, all of which fall into the five basic categories of assets discussed in Chapter 4:

1. personnel
2. infrastructure
3. regulatory
4. policy and
5. financial

¹⁰ Ibid.

¹¹ Ibid.

¹² Ibid. Lower Poudre section (p. 166).

¹³ IBCARL ATF notes of recent events.

¹⁴ G. Wolf and J. Wolf, "Radio disaster/Relay at Travis Flood Relief," *QST*, LXCVI, 6 (April 1992): 70.

Amateur radio recognized by many disaster organizations for providing communications in disasters, readily lends itself to service for several systems.

Personnel

Operators should possess skills in basic radio communications and operations as well as disaster communications and operations, availability, and other issues that are volunteer-related. In most cases, amateur radio operators are well qualified to handle disaster communications; they are skilled in communicating, operating radios, and working with equipment, and are also often skilled in disaster communications. In addition, they can be found in every region of the country.

Most hams know how to relay messages accurately, accurately, and in a timely manner. Amateur radio operators recognize their responsibility to provide their public service communications. They train in various ways to be effective communicators in times of crisis.¹¹⁻¹² They gain this training through personal experience, from other hams, in group activities and courses designed to test their operating skills and ingenuity, and through practice exercises dealing with emergency communications.

This field of interest covers more than just operating a radio. All hams have a license assigned by the Federal Communications Commission (FCC) to operate. In order to obtain a license, an applicant must demonstrate basic knowledge in several areas, including regulations, radio theory, electrical components and circuits, antennas, and operating information. There are five classes of amateur operating licenses: novice, technician, general,

¹¹ Hamnet, p. 118.

advanced, and amateur exams. The requirements and privileges associated with each are shown in Table T-1 and Figure T-1.

There are two options to become a licensed amateur radio operator. The first option is to become a novice by passing a written exam and code test. The exam covers basic radio theory and regulations; the code test requires correctly transcribing/deciphering a transmission of Morse code at a rate of five words per minute. The second is to obtain a "technician or code" license, which requires passing two written exams, but no code test. The first exam is the same as the one for the novice license. The second exam encompasses exam content, radio theory and regulations in more detail.

Table 1-2^a

AMATEUR RADIO OPERATOR LICENSES

Class	Callsign	Minimum Examination Questions	Privileges
Novice	1 WPM (Element 1-6)	Minima theory and regulations (Questions 1-7) ^b	Telegraphy on 1600-1800, 2100-2300 and 3300-3500 MHz with 100-watt PEP output maximum. Telegraphy on 170-190 kHz with no other stations into this band telegraphy permitted; voice on 21.000-21.100 MHz with 200 W PEP max. All stations must be licensed on 222.1, 222.95 MHz. 15 W PEP max. All amateur mobile authorized on 430-435 MHz. 1 W PEP max.
Technician		Minima theory and regulations. Technical questions and regulations (Questions 1 and 10) ^b	All amateur privileges except 160-1800 MHz. Maximum power output with fixed station is 1000 W output for each 100 W input.
General	13 WPM (Element 1-10)	Minima theory and regulations. Technical and General theory and regulations. (Questions 1, 7-10 and 15)	All amateur privileges except those reserved for Amateur Extra and General Class (see Table 1.1)
Advanced	13 WPM (Element 1-10)	All knowledge obtained (generalized) from Technician (7, 10, 15 and 16)	All amateur privileges except those reserved for Amateur Extra Class (see Table 1.1)
Amateur Extra	20 WPM (Element 1-10)	All theory exam domains (generalized) from Technician (7, 10, 15 and 16)	All amateur privileges

^a A licensed radio amateur can be required to pass only those elements that are not indicated as "exempted" for that license license renewal date.

^b An applicant for a 1000-watt station class license has an additional Question 16. PEP is an acronym for peak-to-peak power. All applicants for station class licenses must understand the definition of PEP.

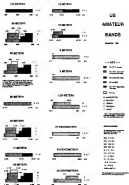


Figure 9-47
U.S. Consumer Involvement Attitudes

Because this is their hobby, hams tend to spend time improving their skills. Also, since many enjoy experimenting with and even building their own equipment, they are usually quite adept at identifying and fixing problems.

Although the licensing procedure is standardized, the term "ham" does not guarantee skill level. Amateur radio allows for many license classes; thus, different hams are experienced to different sizes. Also, as with most sports, there are a number of hams who are very active in a sport not as experienced as those who have dedicated many hours to their hobby. Having individuals skilled to different sizes can be beneficial, but variability in quality of skills can also present problems, particularly for a responding agency. If those requiring communications assistance are not familiar with the hams in their area, they can make inaccurate assumptions about what services hams are able to provide.

There are an estimated 600,000 ham operators in the United States. Therefore, a communications can be on the scene of an emergency in a relatively short period of time. They often provide long-term support as well. However, hams are volunteers. In several cases, the opportunity to be of public service influences many to become hams. On the other hand, they are not paid and are therefore not required to be present. Consequently, it is difficult to count on specific numbers of volunteers in a crisis. In Ellicott City, Maryland, the Howard County Office of Civil Defense arranged beforehand for local hams to assist in an emergency. However, when Hurricane Agnes struck in 1972, none was available because they were dealing with their own emergencies.¹⁸

18. An Editor interview with author.

Technological

There are several aspects of wireless radio technology that make it ideal for emergency communications, including frequency availability, equipment interoperability, portability, and availability: voice, data, and video transmission capability, and satellite as well as terrestrial communication link availability.

Spectrum

Frequencies are the “airwaves” or channels for wireless communications. Because the spectrum is finite, frequency allocation is an important issue. The International Telecommunications Union (ITU) allocates spectrum on an international basis. The FCC then makes national assignments. Both groups recognize the importance of wireless radio—a major reason wireless radio will have as spectrum efficiency.

The frequency bands presently allocated for wireless radio span the spectrum, including RF, VHF, and UHF. These frequency bands are summarized in Table 7-2. Using these channels greatly increases the number of frequencies available for communications, and thus increases communications capability by decreasing the overcrowding of the spectrum channels.

Systems

Face-to-face communications. The most popular method of disaster communications is voice, but more practical, easier, and faster in many cases than record communications. However, voice-only communications are often not the best or most efficient. As discussed in Chapter 4, the optimal disaster communications system includes data and image capabilities as well as voice. Most

systems have only voice capabilities for disaster communications. but newer radio can, in addition to voice, provide data and video and images.

Data communications: There is a growing movement to switch from analog to digital transmission. With analog transmission, noise and distortion accumulate and increase in distance and the number of amplifiers and relays increase. This does not occur with digital transmission because digital signals are regenerated, not just repeated, at each link.

The obvious advantage of this technology is data communications, measured by packet rate, which can offer many benefits to disaster communications. It is capable of very fast, error-free transmission of large amounts of data, facilitating the generation of messages in hardcopy form. It makes efficient use of the spectrum and even works under noisy conditions. The typical setup for this wireless communications system includes a computer (or data terminal and keyboard), a transceiver (radio), and a terminal mode controller (TMC) (see Figure 7-2).



Figure 7-2¹⁰
A Typical Packet Radio Station

The TNC interfaces between the computer and the radio and acts as master, as an intelligent modem because it handles the data from the computer into small portions and adds address, error-checking, and control information to each portion, then sending packets. These packets are then transmitted to another computer.

Packet stations can establish a direct connection with each other, or many stations can connect into a bulletin board system. When more than two stations are involved in communications operations, a bulletin board system is the best option. Using the direct connect method requires connecting and disconnecting every time a message needs to be sent. The use of a bulletin board system eliminates this need, allowing each station to send a message to any other connected station.

Packet radio can also transmit messages over long distances by linking together multiple stations or bulletin boards. Researchers are presently working toward the development of a global packet network using the method.²⁰

Image communications. In the global network, image data and voice communications are under development. The image communications capability already exists in amateur radio. Presently, hams are active with three different image systems:

- 1) **Fast-scan television (FSTV)**—moving pictures are displayed on a standard TV set, and sound is included. Its performance is similar to commercial broadcast TV pictures and is used predominantly in the UHF bands (70 and 22 cm) to provide local area coverage. This is also known as ATV or amateur TV. (See Figure 7-3 for the basic setup.)
- 2) **Slow-scan television (SSTV)**—low resolution still pictures are displayed on a standard TV set. Used in the HF bands, it provides worldwide coverage.

²⁰ Gary A. Blankenship, et al., "The ARRL Handbook for the Radio Amateur," Newington, Connecticut: American Radio Relay League, 1988, pp. 19-21.

11. **Facsimile (fax):** A high-resolution still picture produced on paper or photographic film is used for weather satellite reception and in the HF bands to provide worldwide coverage.¹¹

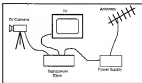


Figure 3.5¹²
A Typical Amateur TV Station

Video compression is a major component in the development of high-quality video transmissions. Broadcast-quality video requires a minimum bit rate of 10 Mbps. In comparison, high-quality stereo sound only requires 1.4 Mbps. With the limited availability of spectrum, these data requirements eliminate the need for video compression.

¹¹ *Reference p. 10.4*

¹² Edgus E. Tappin, "An Introduction to Amateur Television, Part 3—The Basic ATV System," *QST*, LEWIS, 3 (Oct 1984) 41.

There are several algorithms being developed for achieving acceptable levels of video data compression, with their compression ratios ranging from 20:1 to 100:1.¹⁰ Because of the vast untapped bandwidth in the field, the capability for improved video communications is enormous.

Radio Communication Links

Two types of wireless links exist: terrestrial and satellite. Terrestrial links are point-to-point communications, possibly through a repeater. A satellite link is between two earth-based transmitters through a satellite. Most amateur communications are through terrestrial links because of their availability, simplicity, and applicability.

The number of ham radioists that use communications via terrestrial links far exceeds that for amateur satellite communications. Also, the operators and radios are, for the most part, stationary and readily available; satellites, by nature, are not.

Communicating via a terrestrial link is much simpler than communicating via satellite. With satellites, the operator must point the receiving antenna in the correct direction, use the proper receiving frequency, assure that sufficient receiving power is available, and operate at the proper time as well as to be effective. However, amateur radio enthusiasts enjoy a challenge, and many are part of AmSat, the Amateur Radio Satellite Corporation. Several satellites known as OSCARS (Orbiting Satellite Carrying Amateur Radio) are in orbit. Nevertheless, many ham radioists with this technology will be quick to say that satellites

10. Doug M. May, Steve A. Burns, and David Judd "Video Compression/Waters by Burns," *ARRL Spectrum* 21, 10 (October 1989): 22-27.

have no place in emergency communications because of their limited availability and operational difficulties.

The only viable application for GSCNs in emergencies is in data communications. As a store-and-forward system, satellites can be used as part of a worldwide message-handling network, where messages from one location are transmitted to satellites and then from them to another location when it comes into range. This system can be used for health and welfare inquiries (HFI) and other noncritical communications, but is not feasible for real-time communications. A summary of the pros and cons of satellites for emergency communications is shown in Table 3-2.

Table 3-2^a
Satellites in Public Service Communications

<i>Item</i>	<i>Advantage</i>	<i>Disadvantage</i>
Availability	If the best is viable, it's usable. Not affected by propagation conditions.	Must be in constant mode competition with earth stations.
Emergency power	Satellites are always on "emergency power" (battery operation).	Limited by level of security and other changing variables.
Emergency net timing	Advantage is restricted; requires info.	Requires available antenna station and power in affected area.
Signal intelligibility of communication links	Looked up as source of GMR easily interfered with current technology.	Low elevation angles to satellite can degrade COM.

a. IRE System, "Satellite Systems as a Means for Public Service Communications," GPO 162774, 1 (April 1965), 76.

Nevertheless, as with many rules, there are exceptions. In New Hampshire, for example, due to the terrain, terrestrial links cannot be made between two emergency nets and thus satellites are being used. By using a satellite as a gateway between the Maine Arms Radio Club (NARS) emergency net and the New Hampshire Office of Emergency Management in Concord, messages can be relayed between the two locations and the important data stream available for tactical communications.¹⁰

Equipment

One of the major advantages of amateur radio equipment is its portability. While the majority of hams have "shack" or "base" stations with stationary systems, the majority also have portable or mobile equipment, which can include all the electronic components necessary to form an operable communications station. The basic radio station consists of a transmitter and an antenna. A pocket station has, in addition, a computer and a terminal mode controller (see Figure 7-2). Components of an ATV station include a transmitter, video camera, antenna and receiver (see Figure 7-3). A repeater can be included as part of any of these systems. All of these components can be taken to and operated from almost anywhere.

A power supply is required for any electronic equipment to operate (either AC or DC). Most ham equipment is capable of running off house, or alternating, current (AC) of 120 volts. However, ham radio was developed to be portable, and it is standard procedure to power a station with an alternate power source. Therefore, most hams know how to power

10. Burke, pp. 14/9.

their equipment from a car battery, gel battery, generator, or other DC power supply, such as windmills or solar panels.

Amateur radio also offers equipment that is interoperable, that is, works on various frequencies. Each amateur control net and club agency has its own assigned frequencies and equipment, and there is a wider range of frequencies allocated for amateur radio.

Additionally, both data and voice communications are interoperable.

Regulation of the availability of the communication equipment, so far as any value it must be available when and where needed. Amateur radio communication systems are readily available almost anywhere, particularly in North America. Every ham, with very few exceptions, owns and operates a portable two-way radio, also called an HT ("hand-radio") that operates on VHF FM. There is also an abundance of equipment, which facilitates better and longer distance communications and are capable of patching into the phone system. There are 113 two-states (344 148 MHz VHF FM) repeaters in Colorado, with seven in Boulder County and 25 in Denver County.⁴⁶

Potential problems include lack of system capabilities in a given area, lack of coverage, and license limits that necessary to achieve a viable communications system. Lack of system capabilities occurs when not all the available amateur radio systems are in use by hams at a given locale. If the area government sees a need for an interoperable system, then an agreement becomes a policy issue requiring funding, maintenance, operation, etc.

Lack of coverage can result from several things. With HF communications, atmospheric conditions may make reliable communications impossible. However, this is not the case with

46. *Amateur Radio Directory*, HARRIS pg. 111-114

WSP FM, the primary mode of communication. With this method, problems are most likely to result from either too sparse repeaters and transmitters at a given area to provide adequate coverage (i.e., a limited number of hams in the area), or the geographical distribution of the area could render direct line-of-sight communications such as in a mountainous region. The problem may be solved by placing repeaters in locations high enough to provide coverage or using other types of communications systems. An unusual earlier local amateur in New Hampshire turned to satellite technology.⁶⁷

Regulatory

In 1911, Guglielmo Marconi learned to transmit messages of Morse code across the Atlantic—the product of years of experimentation. As a result, many electrical hobbyists attempted to duplicate his feat, building their own wireless equipment and experimenting with wireless communications. They became the first hams.

Unregulated activity in the wireless (or radio, communications) spectrum brought about in 1912 the creation of the Federal Radio Commission by Congress to “control the confusion and assign specific frequencies for specific uses.”⁶⁸ Realizing the potential of amateur, they assigned several frequency bands specifically to amateur radio. The Federal Communications Act of 1934 established the Federal Communications Commission (FCC) as the successor to the Federal Radio Commission.⁶⁹ The FCC, in recognition of amateur

⁶⁷ *Ibid.*, pp. 18-21.

⁶⁸ *Karnes*, pp. 1-6.

⁶⁹ Bill Fogle, ed., *The Legislative History of the Communications Act of 1934* (St. Louis: Des Moines, Des Moines Alliance of Broadcast Stations (DABS), 1976).

radio, directly address amateur service in Part 97 of its Rules. In Section 97.1, the purpose of amateur service is summarized in five principles listed in Figure 7-4.

Figure 7-4
The Five Principles

1. Recognition and enhancement of the value of the amateur service to the public as a voluntary noncommercial communications service, particularly with respect to providing emergency communications.
2. Conservation and maximum of the amateur's power ability to contribute to the advancement of the radio art.
3. Encouragement and improvement of the amateur service through rules which provide for advancing skills in both the communication and technical phases of the art.
4. Expansion of the existing service within the amateur radio service of trained operators, technicians, and classroom experts.
5. Conservation and maximum of the amateur's unique ability to enhance international goodwill.¹⁰

Of primary interest is Principle #1, with its emphasis on the phrase “particularly with respect to providing emergency communications.” Thus, according to the governing body of US amateur radio, one of its primary purposes is providing emergency communications.

Within the amateur radio service are two groups created specifically to further the utilization of amateur radio in disaster communications: Radio Amateur Civil Emergency Service (RACES) and Amateur Radio Emergency Service (ARES). RACES was chartered by the government, ARES was chartered by amateurs. RACES was created by the FCC in

¹⁰ Part 97.1, FCC Regulations

¹¹ Part 97.1, FCC Regulations

provide communications for civil defense purposes as specified in Principle 83. At that time, the term “civil defense” generally applied to issues of war. However, the term is now expanded to apply to any situation of “local, regional or national civil emergencies” defined in section 97.007 of the FCC regulations.¹¹² RACES is governed by the Federal Emergency Management Agency or a local government’s office of emergency management.

The Amateur Radio Relay League (ARRL) was founded in 1914. Located in Connecticut, but involved in both international and national matters, the league “operates strictly as a nonprofit, educational and scientific organization dedicated to the promotion and protection of the privileges that ham operators enjoy.”¹¹³ To better address the needs and requirements of providing good emergency communications, the ARRL created the Amateur Radio Emergency Service (ARES) in 1954. ARES consists of licensed amateurs who have voluntarily registered their qualifications and equipment for communications duty in the public service when disaster strikes. Usually ARRL chapters ARES groups on the local level.

Each local ARES group is headed by an emergency coordinator (EC). The responsibilities of the local EC include the following:

- Manage and coordinate the training, organization, and emergency participation of interested amateurs;
- Establish an emergency communications plan for the community that will effectively support the city agencies;
- Establish a viable working relationship with the city government and all relevant agencies operating within a city.

¹¹² Section 97.007.

¹¹³ *Structure* at 1-1.

- Establish local communications networks on a regular basis, and periodically test these networks by conducting realistic drills such as the Simulated Emergency Test (SET)
- In times of disaster, evaluate the communications needs of the city and respond quickly to those needs. The IC will ensure uniformity and responsibility for emergency response and performance.¹⁴

The local IC works under the District IC, who is in charge of the county. The District IC in turn works under the state IC, who is in charge of an entire region.

Although created as two separate entities by different groups with distinct purposes, RACES and ARES now frequently perform many of the same functions. Often, the membership for both groups is the same and the two groups operate as one. In Boulder County, the ARES chapter, known as BCARES, is also recognized as the official RACES group.

However, that is not always the case. Because RACES is government sponsored and run, there are more regulations and services provided to government entities, while little or none are provided directly to the public. ARES, which is not run by governmental regulations, is more apt to provide service directly to the public. This difference can sometimes create friction between the two groups. As a result, they remain separate entities with two distinct functions that may even provide more service to an area.¹⁵

When working with volunteers, there are three main areas of liability that need to be addressed—the actions, property, and dependencies of the volunteers.¹⁶ As in Good Samaritan

¹⁴ *ARES Emergency Response Manual*, Revised November 11, 2005, p. 1-1.

¹⁵ There also exists the Military Auxiliary Radio Service (MARS), a group of amateurs dedicated to providing support to military personnel and their families.

¹⁶ *ARES*, various web-sites.

Insurance (Laws) – a volunteer has good insurance and cannot be held liable by the community for any accidentally damaging actions. The community, represented by the specific agency for which the volunteer works, must take responsibility for all of his or her actions. In a similar manner, if the volunteer's personal property is damaged while providing service to the community, the community should cover the cost of the damages. This includes providing worker's compensation or temporary insurance coverage for injuries the volunteer sustains while working.

The third liability issue surfaced in the aftermath of the Mount St. Helens volcano eruption, when two amateur radio operators died while on duty, leaving families who depended on them for financial support. The debate arose over whether the community owed compensation to the dependents. *Arrived in the sentence: compensation was paid.* Nevertheless, it is an issue that is rarely considered until after a tragedy occurs.¹¹

Policy

When two or more groups work together on a project, it is important that each group—and all members of each group—fully understand the division of responsibility, particularly in an emergency where lives are at stake. There must also be mutual understanding of rules, regulations, and the policies of the involved agencies. For example, the Mine High Chapter of the American Red Cross has a verbal understanding with the team that serves them, and ICARUS and the BRCC have a memo of understanding (MOU) that details compensation and responsibilities. In most cases, an MOU is the best approach.

¹¹ *Ibid.*

Along with NRC's written disaster plan, it's important to produce a plan. Such a plan, in addition to outlining step-by-step responses to a disaster, clarifies policy and logistical issues such as the chain of command and where to obtain resources. When assignments and responsibilities are in black and white, their confusion regarding their work is avoided.

Financial

Many offer their time, skills, and equipment for free. Most disaster control and disaster relief agencies have limited budgets and resources, and replacing or paying for their elements would cost more money than most agencies can afford. By providing their equipment, frequencies, skills, and time, amateur radio operators help mitigate these financial limitations.

CASE STUDIES

The Mid-High Chapter of the American Red Cross

Amateur radio has historically assisted in communications during emergencies, and one group they've assisted more than most is the Red Cross. Examples of involvement with the Mid-High Chapter include:

- North Post Apartments fire (Boston, June 1993)
- Old Stage Road fire (October 1998)
- Laramie earthquake (June 1992)
- Lone Pine earthquake (October 1995)
- Black Eyed/Squirrels' fire (October 1999)
- Hurricane Hugo (September 1989)

Personnel

There are 24 men here that handle the communications for the Midle High Chapter, all of whom are also experienced in Red Cross emergency communications procedures. In addition to possessing personal radio experience, they participate in Red Cross training. They have always been available when needed and have proven very capable. Through their continued involvement with the Red Cross over the years, their skills and expertise have become known and appreciated by the chapter. Also, many of the men who work as communicators are Red Cross volunteers, even Disaster Action Team (DAT) members, who have had at least minimal training in Red Cross procedures and operations.

The Red Cross utilizes VHF FM radios for voice communications and packet radios for hard-copy. Both are critical to their operations. The men provide all the equipment, even if purchased or maintained by the Red Cross. Conversely, the equipment lasts as long as the men have, regardless of the obsolescence of a replacement system.

An MOU does not exist between the men and the Midle High Red Cross. There is simply an understanding. This has worked for them as the and the Red Cross seem to need no change. The service the men provide is available to since the chapter has no funds for purchasing equipment or training operators.

Summary

The men that act as communicators for the Midle High Chapter of the American Red Cross provide a critical service. Without their skills and equipment, the Red Cross could not

operate effectively. As Jessica Lewis, Disaster Services Specialist of the Little Rock Chapter put it, “If it wasn’t for them, I’d be dead in the water.”¹⁵

Metro D.C. Chapters of the American Red Cross

Providence

The level of amateur radio support is not the same in all Red Cross chapters. The Arlington Chapter has 18 volunteers, most of whom are hams. A local amateur radio club serves as one of the Red Cross chapter officers, and the chief of the Arlington Red Cross Disaster Action Team is in the process of obtaining his license.¹⁶

Just a few miles away, however, is a different story. The National Capital Chapter has very minimal support from local hams. Joan Rice, the Emergency Services Specialist for the chapter would like to see this change. In an effort to gain support from local hams, the chapter is working to obtain a repeater and antennas to facilitate better amateur radio communications.¹⁷

Summary

While amateur radio operators do actively support the local Red Cross chapters in the metro D.C. area, it does not seem to be to the same extent as in the Denver metro area. As Joan Rice suggested, there is probably a direct relationship between the frequency of occurrence of major disasters in a given area and the extent of amateur radio activity.¹⁸

¹⁵ Jessica Lewis, interview with author.

¹⁶ Ralph Szymanski, interview with author.

¹⁷ Joan Rice, interview with author.

¹⁸ Ibid.

BCARES

In 1979 a wildfire burned on Catherine Mountain in Boulder County. Bill McCas, then director of the communications center and also a ham-radioed some local amateur radio operators for assistance when the available communications were not enough. As a result, the benefits of amateur radio were clearly demonstrated and the staff at the communications center, in talking with members of local amateur radio clubs, determined they wanted a group of amateurs dedicated to working with the center. Thus, the Boulder County Amateur Radio Emergency Service (BCARES) as an organization of amateurs geared specifically to providing emergency services to public agencies, was born. BCARES provides volunteer personnel and equipment under a unique arrangement. They rendered assistance, for example, during the following:

- Andrew Lemmon Canyon, January 1991
- Lamar wildfire, June 1992
- Old Stage Road Fire, October 1992
- Reported Black Tiger Mountain Fire, October 1997¹²

Provisions

BCARES meets all the requirements to provide emergency communications. The group has 77 members and is supported by all three local amateur radio clubs—the Boulder Amateur Radio Club, the Longmont Amateur Radio Club, and the Rocky Mountain VHF Club. BCARES members are also trained. In addition to individual experience, they receive training through three main venues: 1) On-the-air Net meetings; 2) simulated emergency tests, and 3) training classes.

¹² See *Western Wildfire* website.

Every Monday at 9:00 p.m., the BCARIS has sessions on the air. The purpose of the Net is to make announcements, facilitate discussions about group matters, and receive training in BCARIS emergency communications. Following the voice net is a training net for people in packet radio communications.

A national emergency net (NET) is held at least once a year. This full-blown training exercise is usually coordinated with those of other public safety agencies. BCARIS members provide communications.

BCARIS members also attend training classes, where proper disaster modeTM operation and procedures are taught. Also, those who do not have access to some of the communication systems, like packet and amateur TV (ATV), receive training in operating them. These classes allow BCARIS to improve the quality of services they provide and increase the number of skilled operators.

BCARIS has all the technological resources necessary for quality disaster communications. Several voice repeater frequencies cover the county, and every member is required to own an HT that operates on the same frequency. BCARIS also has a HF ISS transceiver at the 911 Dispatch Center.

BCARIS can rapidly handle data and image communications. The group has systems for telegraphic mode and ATV. The packet system consists of three portable stations, one permanent station at the dispatch center, and two permanent stations at the Sheriff Department's mobile communications van. All BCARIS packet stations include a second two-way radio for voice communications, facilitating more efficient troubleshooting and monitoring of the packet

network. It is also used for voice acknowledgments of messages. By not using the packet system for these acknowledgments, the efficiency of the system greatly increases.

The SCARS packet system uses PacketClusters, a bulletin board system, which allows for immediate and faster delivery of messages and the interconnection of many stations at the same time.³⁵ SCARS has also tested linking this system to others for long-distance data communications.

December 1981 Test of Initial PacketClusters: Three PacketClusters, each with their own Internet language, connected on 440 MHz. This test was very successful even the delays were improved. Polygons connected at the same time, with 15 stations throughout the area involved in the network. Messages flowed unimpededly and rapidly from station to station, the system truly appeared like one big bulletin board! We plan to use this system for major dramas involving packet traffic between stations.³⁶

For providing image communications services, SCARS has two portable TV transmitters and a portable receiving system for transmitting from remote sites. For receiving the video transmissions, one TV receiver is located in the communications center situation room and one in the mobile communications van. ATV has been, in fact, the primary form of communication provided in the communications center by SCARS in the last couple of years. The center understands the applicability of that technology and has financially supported its development.

Linking these systems together are the teams working to be on the leading edge of technology. Over the years, SCARS has repeatedly provided the Boulder Regional Communications Center (BRCC) with a technology or system unavailable, but very useful, to them. First, the BRCC just needed more mobile communications because their land units were not provided. "When land"

35. Robert Ferguson, "Bulletin Board and Emergency Communications: Video Linking Saves the Digital World," *US Defense Audio Today* (Denver: JPS), 11.

36. *Ibid.*

land mobile became more available, BCARES offered telephone patch capability through their radio and repeaters. Then, when cellular phones started becoming available, BCARES offered the data communications system patch radio. Now, there are portable boxes and digital pagers with text/mobnet capabilities. Although patch is still very much in use, ATV has now retired the name. Controlled line radio from a station seems to only possible through amateur radio. It is not known what the next technological development will be, but Don Schaffer of the BACC believes that time will be spent on public safety systems in the near ⁶¹

BCARES was chartered by the Boulder County communications center and the Amateur Radio Relay League. It is a nonprofit public service corporation, registered under the Office of Nonprofit Management. BCARES is the legal local EARTS organization, and although BCARES is government-chartered, the head of BCARES is the official ASPL, Emergency Coordinator for Boulder County ⁶². Among other things, members are required to

1. Have a valid FCC amateur radio license at technician class or higher
2. Pass a computer background check and be approved by the Boulder County Sheriff's Department for an emergency services identification card
3. Be approved by the board of directors
4. Participate in an initial individual training session, which includes a tour of the 911 dispatch center
5. Own a two-meter FM hand-held radio mobile radio
6. Actively participate in various BCARES training exercises. These include the weekly radio on, SETs, normal meetings, and individual training sessions ⁶³

⁶¹ Don Schaffer, interview with author

⁶² BCARES Manual, pp. 30-31

⁶³ Ibid.

Because it is a government chartered organization, ICAREB is more accountable than other volunteer groups. The memorandum of understanding (MOU) with the BRCC states that ICAREB will handle the communications needs of the BRCC field and laboratory, even if the group receives an outside request for communications assistance. Health and welfare inquiries are not handled by ICAREB but are left to the Red Cross. (The complete MOU is in Appendix A.) Some of the primary issues addressed by the agreement are response time, equipment provision and maintenance, staffing, and training.

ICAREB has existing MOUs with other groups as well, including the 26th High-Chapter of the American Red Cross. However, since the chapter has its own group of teams, ICAREB is only called when they have a shortage of help. In that case, ICAREB will provide assistance when they have not been dispatched to the BRCC.

ICAREB follows disaster plans established by the BRCC, particularly specific plans for wildfires and flash floods. If other types of disaster occur, the group follows one of these two plans. The wildfire disaster plan is followed if the current disaster is limited in area and number of affected people; the flash flood plan is used if the disaster is more widespread and affects many people.

Activation of either of these plans starts with a page from the dispatch center to the three ICAREB officers, each of whom wears a pager. In the event of an emergency, they contact other ICAREB members as necessary for the size and severity of the situation.

The financial state of ICAREB is stated plainly below.

ICAREB is a non-profit corporation. It does not charge its members dues. ICAREB sources its funding mainly of non taxable charitable donations from corporations and private individuals and government grants from the agencies named and FEMA. ICAREB funds are used solely to purchase communications equipment and supplies.

None of the directors, officers or members receive compensation from BCARES. All staff volunteers.¹⁰

Although the BRCC has no budget for BCARES operations, they do fund equipment purchase and installation of systems, antennas, and other items as needed. However, primary funding is received through donations. IBM, a major local business, has on more than one occasion donated money for purchasing communications equipment, and a private person donated an HF SSB radio.

Another, and perhaps primary, means of funding BCARES equipment is governmental "reimbursement for services provided." The federal government compensates financially for local resources, both personnel and equipment, used on federal land such as a national forest. The BRCC bills for the time and equipment used, for example, in fighting a forest fire. The rate assigned to BCARES is the same as the rate assigned to the volunteer fire departments. Because by federal regulation these cannot receive payment for services rendered, reimbursement for services in these events is given to BCARES, which in turn purchases additional equipment or provides better service to the BRCC.

Additional funding for BCARES has come through a FEMA matching grant program called "State and Local Warning and Communication Systems." Its objective and aim are stated as follows:

OBJECTIVE: To maximize the cost-effective benefits of State and local governments by furnishing matching funds for the purchase of equipment and supporting materials for State and local disaster and control, warning and warning systems and to upgrade State and local emergency communication networks.

¹⁰ BCARES Manual, p. 17.

USES AND RESTRICTIONS This provides for up to 50 percent in matching funds and technical assistance to State and local governments for upgrading statewide emergency and warning communication systems.¹⁰

Summary

Since its inception, NCARE has provided the FHCC with technology and services otherwise unavailable to many public safety agencies. Because of the experience with NCARE in an emergency,¹¹ the dispatch center calls the fleets before they order the food.¹²

10 FHCC, *State and Local Warning and Communication Systems*, p. 63.

11 Pageau, p. 68.

CHAPTER 1 ANALYSIS

Issues

Personnel

There are three primary personnel issues required for successful disaster communications—availability, ability, and proper use of volunteer resources. In the first instance, individuals in charge of providing communications must be available. Without them, communications do not happen. Rosters are usually available for any local emergency, however, because they are volunteers, they are not always available, particularly if they are affected by the disaster.

Second, those responsible for handling communications must possess training and expertise in two areas: 1) general communications, including both technical and operational, and 2) disaster response, which involves communications as well as understanding and following the policies and rules of the disaster service agencies. Most have both the necessary skills for general communications, more often than not, have also have experience with emergency communications. Although skill level can vary, many of the teams involved in police services constantly upgrade their technical and operating skills through personal work, amateur radio contacts, and training sessions. Every year, at least one simulated emergency test is held in

which all disaster service groups, including public safety agencies, Red Cross, local hospitals, and amateur radio operators test their ability to respond to an emergency.

Those in charge of the overall disaster response need to know the capabilities and their levels of expertise in order to effectively incorporate them. Many have a good working relationship with disaster service agencies, and most have are willing to demonstrate their abilities to any group. A meeting exercise provides the best opportunity for an agency to learn about the capabilities of amateur radio.

Technological Issues

Disaster service agencies have their own communications systems, but they need a backup of sorts: a supplemental system. The three requirements of these systems are: 1) capacity for rapid deployment, 2) easy setup and operation, and 3) capability of both voice and data transmission. The three technologies that provide these capabilities are cellular phone, satellite, and amateur radio.

The two commercial alternatives, cellular and satellite, each have merit. However, cellular phone systems are not a viable option for the reasons discussed in Chapter 6, primarily due to their current limited coverage and capacity, particularly when under heavy load, such as during a disaster. The Low Earth Orbit satellite systems that could have applications in emergency are still in the development stage and waiting for approval from the Federal Communications Commission.

Ham radio is a viable alternative. A ham can be on the disaster scene within an hour or two. Hams are also at ease with the setup and operation of either their own equipment or that of

which they have been created. Also, as previously discussed, amateur radio systems are fully capable of providing voice, data, and video transmissions.

Amateur radio offers two additional benefits. First, the additional spectrum available is being implemented in the frequencies assigned to disaster service systems by the FCC. Second, amateur radio systems are interoperable; that is, voice, video, and data communications can be operated on the same systems.

Regulatory Issues

According to the FCC, a primary purpose of amateur radio is to provide emergency communications to the public. It has established the Amateur Radio Emergency Service (ARES) as a national organization with the Amateur Radio Relay League (ARRL) to help meet this goal. The Radio Amateur Civil Emergency Service (RACES) was established as a government-regulated group of amateurs specifically dedicated to providing emergency communications. In some areas, the local ARRL chapter also operates as the local RACES chapter. In others, due to their perceived differences in objectives, they operate as distinct entities.

Policy Issues

Two policy concerns must be addressed for successful, reliable disaster communications. Each involved group must have an operable disaster plan as well as a mutual understanding of facilities and responsibilities. These elements become even more important when dealing with volunteers who are not always available or adequately trained. As volunteers, the control and command shared over them is subject to their approval and consent. While this is usually not

a major problem since they are there to help, it is still something that must be considered. A disaster plan is a critical element of disaster planning and management. A written agreement is not mandatory as some groups have shown they can manage quite well without one. However, an MOU is recommended for handling issues of liability and responsibility.

Financial Issues

Of primary importance when considering a communications system is its affordability. Since there is, by legal charter, no removal from accounting financial consequences for services amateur radio is easily both cost effective and affordable. If member group considered a plan similar to one used by ICADMS, the only potential costs are related to whether a compensation insurance coverage and equipment purchase.

Applicability to Disaster Communications

Disasters and emergencies are growing in magnitude and frequency, and they have the potential to impact every human being. Thus, there is a need for disaster communications especially for disaster control and disaster relief agencies. These agencies have their own equipment and systems, but these are rarely adequate. Amateur radio is a viable supplement of disaster communications systems. Although it is used heavily by many Red Cross chapters with great success, amateur radio is not recommended as the primary—or even backup—source of communications. There are too many variables with volunteer to justify amateur radio as anything but supplemental.

Despite this limitation, amateur radio should not be overlooked. Even in development, amateur radio has proven to be an effective emergency communications service provider. It can fill the communications gaps in disasters and provide skilled personnel, equipment, and additional frequencies and technology otherwise unavailable to an agency.

Proposal

Amateur radio is a critical resource when planning for and managing the response to disasters. The following section suggests a plan for incorporating amateur radio into a local agency's disaster communications plan. This proposal is applicable to both disaster relief and disaster control agencies.

First, analyze the existing emergency communications system, determine its problems and limitations, then decide what is needed for additional communications support. The agency's financial status should also be considered.

Next, learn about and talk with local hams. Contact the local or state office of emergency management to obtain information about the local RACES chapter. If a chapter does not already exist, information on starting one can be obtained by contacting the national office.¹¹ For information on the local ARRL chapter or how to start one, contact the ARRL.¹²

Determine how many amateur radio operators are in the area as well as how many are licensed, is serving the agency. Are there enough to make it worth the effort? Determine their capabilities and what they can provide, i.e., do they have a working packet radio system and

11. NACEM: The National Emergency Management Agency, 900 E Street, S.W., Washington, DC 20003.

12. ARRL: ARRL, 127 Main Street, Newington, CT 06111, (800) 486-1546.

to

ATIS equipment? If they don't have a system that is desired by the agency, determine if the agency will purchase equipment and whether the team will learn to use it. In addition to their capabilities, assess the team's commitment level. Determine how many are willing to meet the desired level of service, including time for ongoing on-call availability, and provision of equipment and systems.

After assessing the availability of team members, agree on exactly what their role will be. Spell out the expectations and responsibilities of each party to the other. In many cases, the best approach is to draw up a memo of understanding (MOU) between the parties that contains the functions and responsibilities of each. This approach is recommended to create a written baseline of cost; however, most teams enjoy providing this service and do not necessarily require a written understanding. The agreement should also be incorporated in the agency's disaster plan.

A final and very important issue is training. Teams usually hold their own training events on equipment and system instruction and emergency response; however, this is not sufficient. As a disaster management agency, hold regularly scheduled training events that include the team. This provides the opportunity not only to see the amateur radio operators in action but also to determine areas of improvement for all involved parties.

Summary

Amateur radio can be a very effective communications tool for responding to disasters. Teams have the skills, technology, and willingness to serve. It makes sense to plan for them, include them in the training and preparation for a disaster, and use them when disaster strikes. If the

issues in the proposal are referred to the satisfaction of both the disaster recovery agency and the State, then the community will benefit from the release.

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APPENDIX A

MEMO OF UNDERSTANDING BETWEEN BCARES & BRCC

The Boulder Regional Communications Center (BRCC) is the 911 emergency services dispatch center for the Boulder County Sheriff's Department and for most police departments, fire departments, and ambulance and rescue groups within Boulder County. The Boulder County Amateur Radio Emergency Service (BCARES) is a non-profit, public service corporation of FCC licensed radio operators which provides volunteer communications services during disasters. Representatives from BRCC and the Boulder County Office of Emergency Preparedness (OEP) serve as the board of directors of BCARES.

BCARES will provide additional communications channels to BRCC to supplement the normal public service, emergency radio channels which become overwhelmed during disasters. These will include radio radio, packet radio and microwave. BCARES will set up communications stations to assist any public service agency in Boulder County as directed by BRCC. BCARES will also coordinate all other amateur radio emergency activities within Boulder County. The types of emergencies which would probably require BCARES support are those which are likely to involve multiple agencies and extend beyond a few hours duration. Typical examples are: large forest fires, public riots, floods, aircraft crashes, and large industrial accidents which involve numerous victims. Additional details concerning BCARES are found in the "BCARES Contact Information" sheet dated 11/91, which is located in appendix B.

BCARES under the following conditions to BRCC:

1. BCARES will be capable of staffing for 24 hours four separate stations. These are the Amateur Radio mobile in the BRCC dispatch center, the Sheriff's Dept communications van and two additional portable stations for either packet radio and/or television.
2. For shorter periods of time at the beginning of an emergency, BCARES might be able to field an even larger number of stations if requested by BRCC.
3. For extended periods of time up to one week, BCARES will be capable of providing a minimum of 2 operators for 2 stations for 24 hours per day.
4. BCARES will ensure a response time of 1 hour within the city of Boulder after receiving a BRCC radio page and 2 hours to most any remote location in Boulder County.

5. BCAREE will manage station, well qualified members to claims as operators at the BACC dispatch center and the 911 communications van. These members will visit their locations to test and operate these facilities, at least once a month.
6. BCAREE will maintain a cache of radio equipment at the BACC dispatch center. This cache will include: 3 portable packet radio sets, 2 portable TV transmitters with TV camera, 1 portable TV receiver, and 1 portable HF 230 radio. Two of the portable packet radio sets include 40 watt VHF-FM voice radios which are capable of operating on both amateur and public safety frequencies. BCAREE will provide the maintenance for this equipment.
7. BCAREE will have available for emergency use a minimum of 3 VHF voice radio repeaters. They are: 146.1675 MHz Clatsop Hill, 147.1707 MHz Longmont, and 146.1070 NCRB. They provide coverage of most of Boulder County except for the NCRB repeater which covers only the city of Boulder and the eastern plains.
8. All BCAREE members have their own hand-held and/or mobile VHF- 2 meter (146-148 MHz) FM voice radios. Some members also have available portable packet radio sets. These portable packet radio sets will not be available for extended operations.
9. BCAREE members may have available "portable" repeaters for making out-going telephone calls via radio. These are not reliable and BACC is advised to not depend on these telephone network whenever possible.
10. BCAREE will hold voice and packet radio net training sessions weekly to practice procedures. A half-day Emergency Exercise (EET) will be held at least once a year in cooperation with BACC. This will involve a call-out of all BCAREE members and setting up and operating all the various BCAREE/BACC stations and equipment.

BACC makes the following commitments to BCAREE:

1. BACC will supply 3 pages to BCAREE. BACC will call BCAREE on these pages whenever it desires assistance from BCAREE.
2. BACC will provide storage space at the dispatch center for the BCAREE radio cache.
3. BACC will supply the maintenance for the WLS, 145.05 MHz packet radio cluster (digital repeater, packet radio repeaters and the voice radios, packet radios and TV) at the dispatch center and the communications van.
4. BCAREE members are advised by rider's compensation of injured while on an emergency operation or training exercise when BCAREE has been authorized to participate by BACC, or Boulder County Sheriff's Dept. or City of Boulder Police Dept. If the operation is a Boulder County jurisdiction, then Boulder County will provide the

workstation's compensation. If the operator is a City of Boulder jurisdiction, then the City of Boulder will provide the worker's compensation.

Signed by:

Ted Vranney, Director, BRCC 12 Feb 1992

Ronald E. Stewart, Chair,

Boulder County Commissioners 2 Mar 1992

James R. Anderson, Chair, BCARES 12 Feb 1992

Stephen T. Hovey, Boulder City Manager 30 Mar 1992

ARES MISSION STATEMENT

Within Boulder County, the Amateur Radio Emergency Service (ARES) has made commitments to provide disaster communications for the following public service agencies: Boulder County Regional Communications Center (BRCC), Office of Emergency Preparedness (OEP), Red Cross (RC), Longmont Police, and Longmont United Hospital. BRCC is the 911 emergency services dispatch center for most police departments, fire departments, and rescue groups within Boulder County.

There are five separate groups of radio amateurs that comprise the ARES. They are: the Boulder County Amateur Radio Emergency Service (BCARES), the Longmont Amateur Radio Club (LARC), the Boulder Amateur Radio Club (BARC), the Rocky Mountain VHF Society (RMVHF), and the ARRL National Traffic System (NTS). Unaffiliated amateurs are also included in ARES.

